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Mary Ann Copas
Mary Ann Copas, Secretary

Assistant Commissioner for Patents
Washington, DC 20231

Re: New U.S. Patent Application under 37 CFR 1.53(b) – Wolfgang Peter, Bernd Edenhofer and Jan-Willem Bouwman

METHOD AND APPARATUS FOR TREATMENT OF METALLIC WORKPIECES

Sir:

1. Please find enclosed herewith for filing: 11 pages specification, 3 pages (16) claims, 1 page abstract, combined declaration and power of attorney, and 4 pages, 4 figures, drawings in support of the above identified application.
2. It is respectfully requested that this application be granted the priority date of April 14, 2000, the filing date of the corresponding European patent application 00 10 8203.1. A certified copy of the European priority document is filed herewith.
3. Also enclosed herewith is an assignment from the inventors Wolfgang Peter, Bernd Edenhofer and Jan-Willem Bouwman to Ipsen International GmbH, Flutstrasse 78, D-47533 Kleve, Germany, which you will kindly record at the Patent and Trademark Office.
4. I am enclosing my check in the amount of \$730.00* to cover the official fees
composed of: Basic fee \$690.00
Additional fees:
Total number of claims in excess of 20 (# claims times \$18.00) \$ 00.00
Number of independent claims in excess of 3 (# claims times \$78.00) \$ 00.00
Assignment recording fee \$ 40.00
TOTAL FEE \$730.00

* It is respectfully requested that, if necessary to effect a timely response, this paper be considered as a Petition for an Extension of Time sufficient to effect a timely response and any fees required or any shortages in any fees be charged to Deposit Account 02-1653.

Respectfully,

Robert W. Becker

Robert W. Becker
Registration No. 26,255

ROBERT W. BECKER & ASSOCIATES
11896 N. Highway 14, Suite B
Tijeras, New Mexico 87059

Telephone: (505) 286-3511
Facsimile: (505) 286-3524

METHOD AND APPARATUS FOR TREATMENT OF METALLIC WORKPIECES

Background of the Invention

The present invention relates to a method for the thermal treatment
5 of metallic workpieces, in particular workpieces with an overset or
undulating shape or workpieces that are projectingly stackable, in which
method the workpieces, after being heated, are cooled in a quenching
chamber with a quenching gas. The present invention furthermore relates
to an apparatus with which such a method can be performed.

10 Metallic workpieces undergo thermal treatment in order to produce
defined workpiece properties, for example, a high degree of hardness or
sufficient resistance to wear. The result of the thermal treatment is a
change in the structure of the workpiece, for example, a conversion of the
cubic, surface-centered γ -structure of carbon-rich austenite plates into the
15 cubic space-centered α -structure of ferrite plates. In addition to
temperature and original structure, particularly important in terms of the
results of the treatment are the speed at which the heated workpieces are
cooled and the quenching means used. Quenching means primarily used
are gas, oil, and water (given in order of increasing abruptness).

20 When gas quenching is used, relatively high speed is needed in
order to achieve a quenching intensity that approaches the quenching

intensity of oil or water quenching. For this purpose, it is known to provide nozzles with which correspondingly high gas speeds and therefore generally sufficient heat transmission values of greater than 1000 W/m²K can be achieved. An apparatus provided with nozzles for quenching metallic workpieces is disclosed, for example, in EP 0 796 920 A1. This apparatus, having a field of nozzles made of an exchangeable nozzle plate provided with nozzles, uses a rotating or swiveling arrangement of nozzle plate and/or of a grate carrying the workpieces. This makes possible relative movement of nozzle field and workpieces and thus ensures that the gas is applied to the workpieces in a relatively uniform manner, but it has the disadvantage that the flow of gas strikes the workpieces in a diffuse and turbulent manner. This means that the surface of each workpiece is cooled unevenly, so that stresses occur that can lead to distortion or even fissures. In particular with workpieces with an offset or undulating shape, or those that are projectingly stacked when a lot or charge is assembled, for example, roller bearing rings or toothed wheels, distortion is particularly noticeable and, due to the generally narrow tolerances for such workpieces, leads not infrequently to a number of rejects that is unsatisfactory in terms of economics.

It is well-known for systematically controlling the flow of gas, to provide guides with which, for example, the quenching gas can strike

even those locations of the workpiece surface that would otherwise be located in the shadows of the flow; however, such a measure is not satisfactory because, in addition to the relatively high degree of complexity associated with arranging the guides to correspond to the workpiece geometry, the guides do not prevent eddies from occurring when the flow of gas strikes the workpieces, which can have a negative effect on how adjacent workpieces in a charge cool and can thus cause distortion.

It is therefore an object of the present invention to provide a method and an apparatus for thermal treatment of metallic workpieces with which gas quenching can be obtained that is low in distortion, even for workpieces having an undulating shape or workpieces that are projectingly stackable.

Summary of the Invention

This object is inventively achieved in a method of the aforementioned general type in that quenching gas is intentionally caused to flow in a directed manner around workpieces by means of guide channels that have a closed lateral surface and that enclose the workpieces along the direction of flow of the quenching gas.

Such a method ensures that quenching gas can be used for low-distortion cooling of the workpieces when guide channels separate the

individual workpieces of a charge to be cooled or the workpieces of a charge to be cooled that are placed upon each to form stacks. This results in a flow of gas in the guide channels that flows around the entire workpiece surface parallel to the axis of the workpiece and effects uniform cooling but is not affected by adjacent workpieces.

It is expedient if the guide channels, prior to the heating, are placed over the individual workpieces or the workpieces that are placed upon each other for stacking. In this manner the guide channels are subjected to the thermal treatment together with the workpieces. Even if such a measure requires guide channels made of a suitable heat-resistant material, it offers the advantage that the guide channels can be placed over workpieces that are still cold and can be used in conventional quenching chambers or the workpieces can be quenched in the thermal treatment oven.

Alternatively, the guide channels in the quenching chamber can be arranged around the individual, previously heated workpieces, or the previously heated workpieces that are placed upon each other for stacking, in order to prevent a problem when the workpieces are being heated. In this case it is especially advantageous to place the guide channels over the workpieces in the quenching chamber, for example, via an electromotor, hydraulically, or pneumatically, from one or two sides,

preferably from above and/or below, so that manageable operation is ensured even when there is a limited amount of space in the quenching chamber.

Suggested in addition for achieving the aforesaid object is an apparatus for the thermal treatment of metallic workpieces and having a quenching chamber in which the workpieces can be cooled with a quenching gas, the quenching chamber being distinguished in that guide channels are provided to ensure that the quenching gas flows around the workpieces, wherein the guide channels have a closed lateral surface and enclose the workpieces along the direction of flow of the quenching gas.

The method in accordance with the invention can be performed with an apparatus embodied in such a manner. Due to the closed lateral surface of the guide channels, the workpieces are completely enclosed along the direction of flow of the quenching gas and are separated from adjacent workpieces in the charge that is to be cooled. Therefore, a largely laminar flow results that is unaffected by adjacent workpieces and that cools the workpieces intensively and uniformly.

It is of particular advantage if the length of the guide channels is at least equal to the height of the individual workpieces or the workpieces stacked upon each other. This channels the eddying of the quenching gas, which is unavoidable when the quenching gas strikes the

workpieces, so that it does not affect the flow of gas around adjacent workpieces. In this regard, it has proven particularly effective to configure the length of the guide channels such that they project beyond the height of the individual workpieces, or of the workpieces stacked upon each other, by an amount equal to half the diameter or width of the workpieces.

In a further advantageous embodiment of the apparatus in accordance with the invention, the shape of the guide channels is cylindrical, preferably with a circular, square, or polygonal cross-section, or is adapted to the geometry of the workpieces to be cooled, in order to provide cost-effective production and furthermore in order to provide intensive quenching by means of a high gas speed caused by a narrow distance between the interior surface of the guide channels and the workpieces.

With respect to manageability, it is additionally useful to interconnect the guide channels to form a system of channels so that it is possible to place all of the guide channels onto the individual workpieces or the workpieces arranged for stacking at one time. This is done primarily when, in accordance with an additional advantageous further development of the apparatus in accordance with the invention, the guide channels are arranged to be adjustable in the quenching chamber, preferably by an electromotor, hydraulically, or pneumatically, for

example, in the form of a system of channels that can be lowered onto the workpieces from above. The guide channels are also advantageously exchangeable in order to ensure that it is possible to adapt to different workpiece geometries.

5 Pursuant to a further embodiment of the invention, the quenching chamber can have an inlet for the quenching gas, said inlet being adjacent to the guide channels. This offers the advantage that the flow of gas forwarded to the quenching chamber flows exclusively into the guide channels and not past the charge of workpieces or between the individual
10 guide channels. In addition, this reduces the flow volume to a minimum. The result is that a high speed is maintained and therefore high quenching intensity is obtained. Finally suggested is that the guide channels comprise a heat-resistant material, preferably steel, iron alloys, or nickel alloys, in order to be able to place them over the workpieces
15 even prior to providing thermal treatment to the workpieces.

Brief Description of the Drawings

The object and advantages of the present invention will appear more clearly from the following specification in conjunction with the accompanying schematic drawings, in which:

20 Fig. 1 illustrates a quenching chamber with a raised system of channels;

Fig. 2 illustrates the quenching chamber in accordance with Fig. 1 in which the system of channels has been lowered over the workpieces;

Fig. 3 is a side view of the system of channels in accordance with Fig. 2 comprising inter connected guide channels;

Fig. 3a is a top view of the system of channels in accordance with Fig. 3;

Fig. 4 is a side view of individual guide channels placed over the workpieces; and,

Fig. 4a is a top view of the guide channels in accordance with Fig. 4.

Description of Preferred Embodiments

The quenching chamber 10 illustrated in Figs. 1 and 2 is part of an apparatus for the thermal treatment of metallic workpieces 20 and is arranged, for example, at the end of a roller hearth-type furnace. The quenching chamber 10 can be embodied such that it can be operated either with a vacuum or at atmospheric pressure or at overpressure. Located in the quenching chamber 10 is a grate or grid 11 carrying workpieces 20 that have been heated and are to be cooled; the grate makes it possible for a quenching gas to circulate vertically in the

quenching chamber 10. For circulating the quenching gas, a fan 13 driven by a motor 12 is arranged below the grate 11. Outside of the quenching chamber 10, the quenching gas is conducted through a gas channel 14 in the direction of flow indicated by the arrow in Figs. 1 and 2.

5 Furthermore provided above and below the quenching chamber 10 are flaps or the like 15 that prevent the quenching gas from circulating until the fan 13 has achieved the speed required.

Thus, when the flaps 15 are open the quenching gas can circulate by flowing from the fan 13 through the gas channel 14 into the quenching chamber 10 and over the workpieces 20. The quenching gas is re-cooled when it flows over a heat exchanger 16 arranged in front of the fan 13 in the direction of flow, and finally returns to the fan 13.

In order to ensure an intentional flow of the quenching gas around the workpieces 20, guide channels 30 are provided that are made of a heat-resistant material, that have a closed lateral surface, and that
15 enclose the workpieces 20 along the direction of flow of the quenching gas. The guide channels 30 can be formed by a coherent, matrix-like system of channels 31, whereby the guide channels 30 are interconnected, as is illustrated in particular in Figs. 3 and 3a.
20 Alternatively, the guide channels 30 can also be embodied as individual hollow cylinders 32, 33 with, for example, a circular or square cross-

section. Such embodiments are shown in Figs. 4 and 4a. The length of the guide channels 30 should be configured such that they project beyond the height of the individual workpieces 20 or the workpieces 20 to be stacked by the distance a, as can be seen in Figs. 3 and 4. The distance a is equal to half the diameter or width of the workpieces 20.

The guide channels 30 can be placed over the workpieces 20 either prior to introducing the workpieces 20 into the quenching chamber 10, for example, when the charge is assembled, or they can be placed thereover in the quenching chamber 10 itself. Figs. 1 and 2 illustrate the latter instance. The guide channels 30, embodied as a coherent system of channels 31, in this case are arranged in the quenching chamber 10 such that their height can be adjusted by means of hydraulic cylinders 34, as indicated by the double arrow in Figs. 1 and 2. In this manner, it is possible to place the system of channels 31 over the workpieces 20 from above after the workpieces 20 have been brought into the quenching chamber 10. In order to be able to accommodate charges that have workpieces 20 with different geometries, the system of channels 31 is exchangeably attached to the hydraulic cylinders 34. In addition, provided in the upper part of the quenching chamber 10 is an inlet 35 that seals the system of channels 31 relative to the interior area of the quenching chamber 10 so that the quenching gas circulating in the

quenching chamber 10 flows only through the guide channels 30 and does not flow outside the charge of workpieces.

The apparatus described in the foregoing is particularly well-suited for quenching, in an efficient and distortion-free manner, workpieces 20 with an overset or undulating shape or projectingly stackable workpieces, such as shafts or bearing rings that are stacked upon each other. The reason for this is the high speed and laminar flow of the quenching gas effected by the guide channels 30. In addition, the height-adjustable arrangement of the system of channels 31 ensures that the method is manageable and efficient. In addition, the option of providing differently embodied guide channels 30 and the arrangement of the system of channels 31, which is exchangeable for this purpose, allows an adaptation to different workpiece shapes and sizes without complex refitting.

The specification incorporates by reference the disclosure of European priority document EP 00 10 8203.1 of April 14, 2000.

The present invention is, of course, in no way restricted to the specific disclosure of the specification and drawings, but also encompasses any modifications within the scope of the appended claims.

WHAT I CLAIM IS:

1. A method for the thermal treatment of metallic workpieces,
said method including the steps of:

disposing preheated workpieces in a quenching chamber;

5 surrounding said workpieces, either prior to or after said
disposing step, with guide channels, which have a closed lateral surface,
along a direction of flow of subsequently to be provided quenching gas;
and

10 providing through said guide channels a flow of quenching
gas about said workpieces to cool same.

2. A method according to claim 1, wherein said guide channels
are placed about individual ones of said workpieces, or about said
workpieces that are to be stacked upon one another, prior to a step of
heating said workpieces.

15 3. A method according to claim 1, wherein said guide channels
are placed on individual or stacked ones of said previously preheated
workpieces in said quenching chamber.

20 4. A method according to claim 2, wherein said guide channels
are moved toward said workpieces in said quenching chamber from one
or two sides.

5. A method according to claim 4, wherein said guide channels

are moved toward said workpieces from above and/or below.

6. An apparatus for the thermal treatment of metallic workpieces, said apparatus comprising:

a quenching chamber for receiving preheated workpieces
5 and a quenching gas for cooling same; and

guide channels for a directed flow of quenching gas about said workpieces, wherein said guide channels 30 have a closed lateral surface and surround said workpieces along a direction of flow of said quenching gas.

10 7. An apparatus according to claim 6, wherein said guide channels have a length that corresponds at least to a height of individual or stacked ones of said workpieces.

8. An apparatus according to claim 7, wherein the length of said guide channels projects beyond a height of said individual or stacked
15 workpieces by an amount equal to half of a diameter or width of said workpieces.

9. An apparatus according to claim 6, wherein said guide channels have a cylindrical shape or are adapted to the geometry of said workpieces that are to be cooled.

20 10. An apparatus according to claim 9, wherein said guide channels are cylindrical, having a circular, square or polygonal cross-

section.

11. An apparatus according to claim 6, wherein said guide channels are interconnected to form a channel system.

12. An apparatus according to claim 6, which includes means
5 for displacing said guide channels in said quenching chamber.

13. An apparatus according to claim 12, wherein said guide channels are replaceable.

14. An apparatus according to claim 6, wherein said quenching chamber is provided with an inlet for said quenching gas, wherein said
10 inlet rests sealingly against said guide channels.

15. An apparatus according to claim 6, wherein said guide channels are made of a heat-resistant material.

16. An apparatus according to claim 15, wherein said guide channels are made of steel, iron alloys or nickel alloys.

Abstract of the Disclosure

A method and an apparatus for the thermal treatment of metallic workpieces with which gas quenching can be obtained that is low in distortion, even for workpieces with an undulating shape or workpieces that are projectingly stackable are provided. In accordance with the method, after being heated the workpieces are cooled in a quenching chamber with a quenching gas, whereby the quenching gas is intentionally caused to flow around the workpieces by means of guide channels that have a closed lateral surface and that enclose the workpieces along the direction of flow of the quenching gas. The apparatus comprises a quenching chamber in which the workpieces can be cooled with a quenching gas, and wherein guide channels are provided to ensure that the quenching gas flows around the workpieces, the guide channels having a closed lateral surface and enclosing the workpieces along the direction of flow of the quenching gas.

WHAT I CLAIM IS:

1. A method for the thermal treatment of metallic workpieces,²⁰
said method including the steps of:

disposing preheated workpieces²⁰ in a quenching chamber;¹⁰

5 surrounding said workpieces²⁰, either prior to or after said
disposing step, with guide channels³⁰, which have a closed lateral surface,
along a direction of flow of subsequently to be provided quenching gas;
and

10 providing through said guide channels³⁰ a flow of quenching
gas about said workpieces²⁰ to cool same.

2. A method according to claim 1, wherein said guide channels³⁰
are placed about individual ones of said workpieces²⁰, or about said
workpieces²⁰ that are to be stacked upon one another, prior to a step of
heating said workpieces.

15 3. A method according to claim 1, wherein said guide channels³⁰
are placed on individual or stacked ones of said previously preheated
workpieces²⁰ in said quenching chamber¹⁰.

4. A method according to claim 2, wherein said guide channels³⁰
are moved toward said workpieces²⁰ in said quenching chamber¹⁰ from one
20 or two sides.

5. A method according to claim 4, wherein said guide channels³⁰

For the Examiner's
Reference

are moved toward said workpieces²⁰ from above and/or below.

6. An apparatus for the thermal treatment of metallic workpieces²⁰, said apparatus comprising:

a quenching chamber¹⁰ for receiving preheated workpieces²⁰

5 and a quenching gas for cooling same; and

guide channels³⁰ for a directed flow of quenching gas about said workpieces²⁰, wherein said guide channels³⁰ have a closed lateral surface and surround said workpieces²⁰ along a direction of flow of said quenching gas.

10 7. An apparatus according to claim 6, wherein said guide channels³⁰ have a length that corresponds at least to a height of individual or stacked ones of said workpieces²⁰.

8. An apparatus according to claim 7, wherein the length of said guide channels³⁰ projects beyond a height of said individual or stacked workpieces²⁰ by an amount equal to half of a diameter or width of said workpieces.

9. An apparatus according to claim 6, wherein said guide channels³⁰ have a cylindrical shape or are adapted to the geometry of said workpieces²⁰ that are to be cooled.

20 10. An apparatus according to claim 9, wherein said guide channels³⁰ are cylindrical, having a circular, square or polygonal cross-

section.

11. An apparatus according to claim 6, wherein said guide
30 channels are interconnected to form a channel 31 system.

12. An apparatus according to claim 6, which includes means
5 for displacing said guide channels 30 in said quenching chamber 10.

13. An apparatus according to claim 12, wherein said guide
30 channels are replaceable.

14. An apparatus according to claim 6, wherein said quenching
10 chamber 10 is provided with an inlet 35 for said quenching gas, wherein said
inlet rests sealingly against said guide channels 30.

15. An apparatus according to claim 6, wherein said guide
30 channels are made of a heat-resistant material.

16. An apparatus according to claim 15, wherein said guide
30 channels are made of steel, iron alloys or nickel alloys.

Fig.1

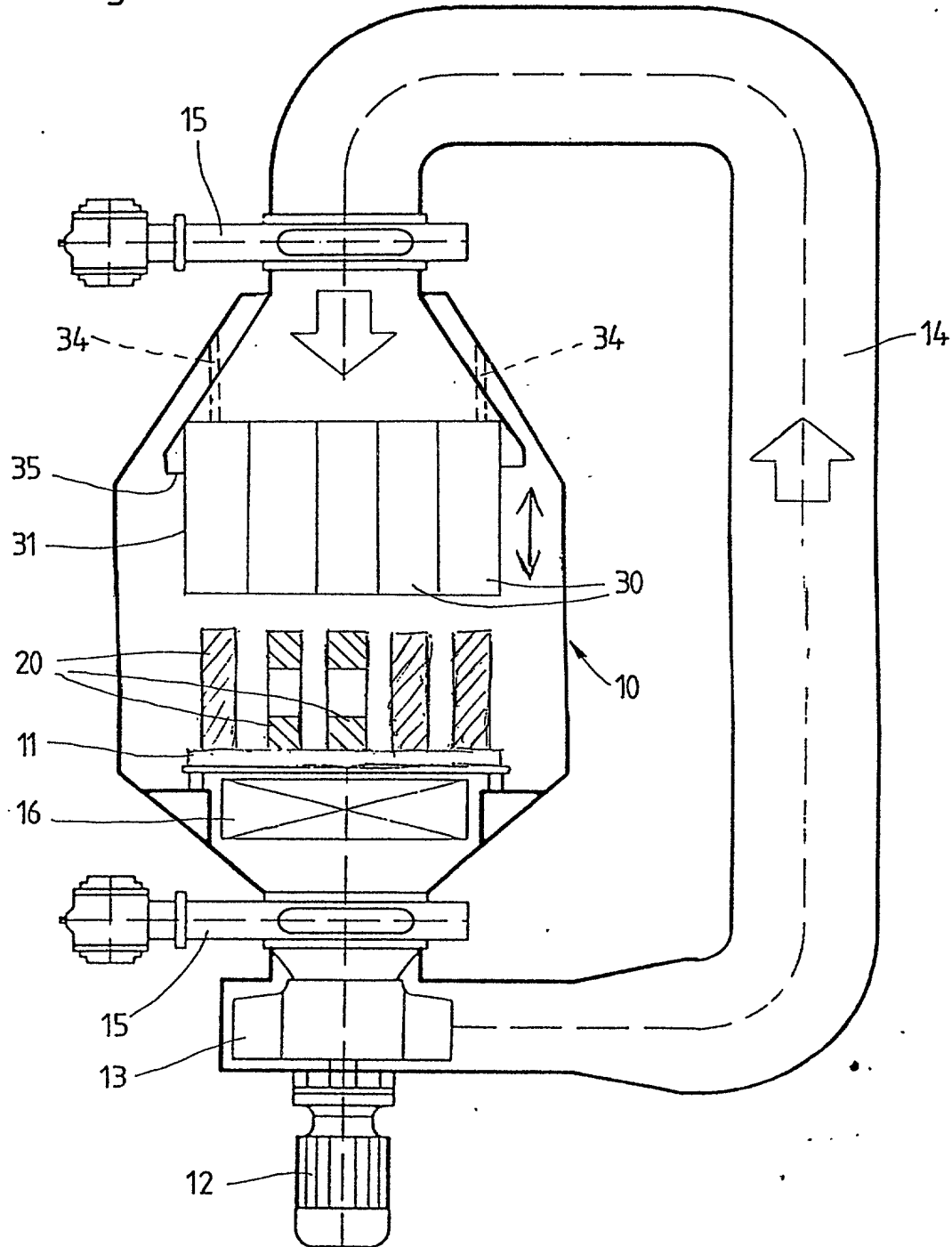


Fig. 2

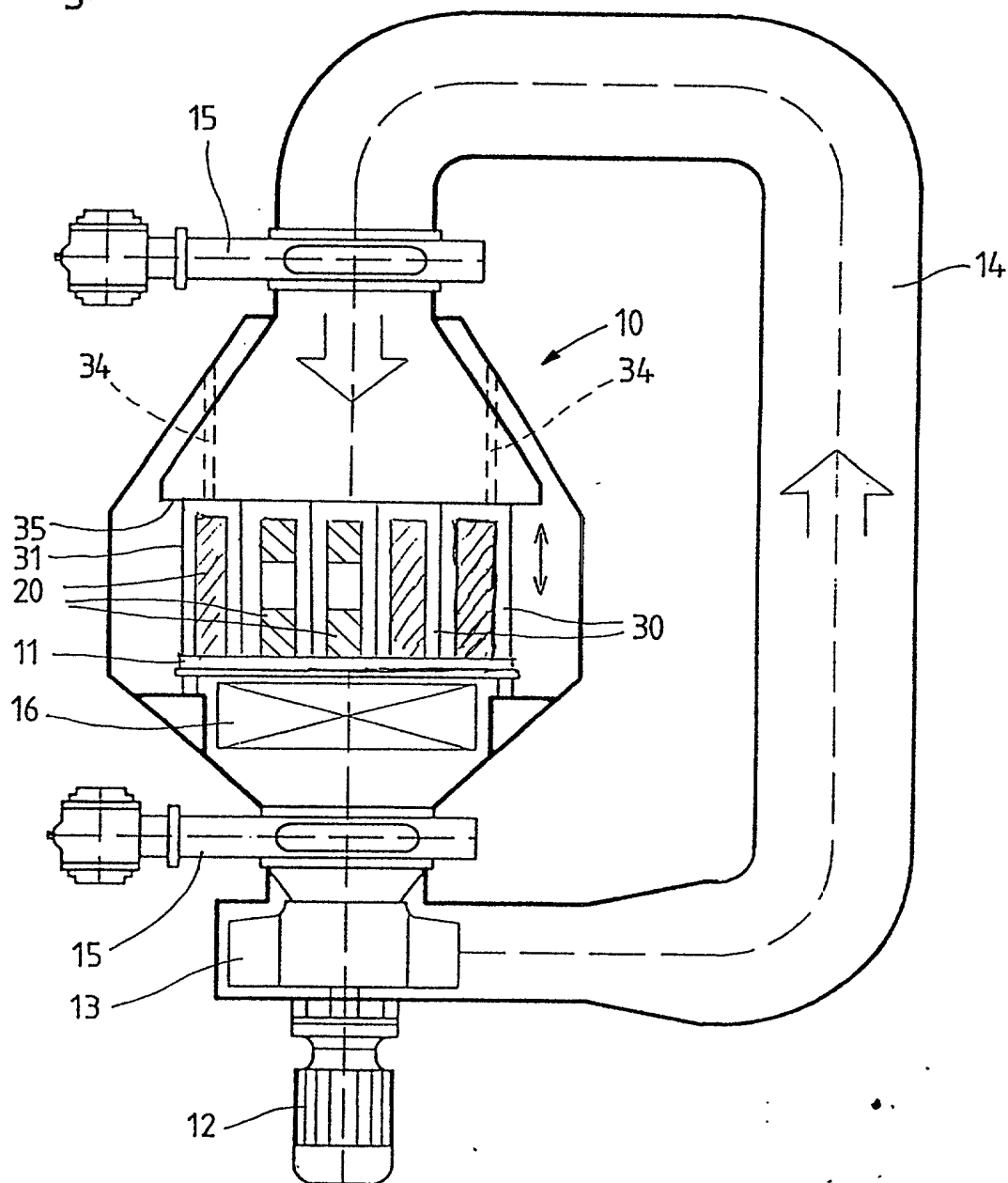


Fig. 3

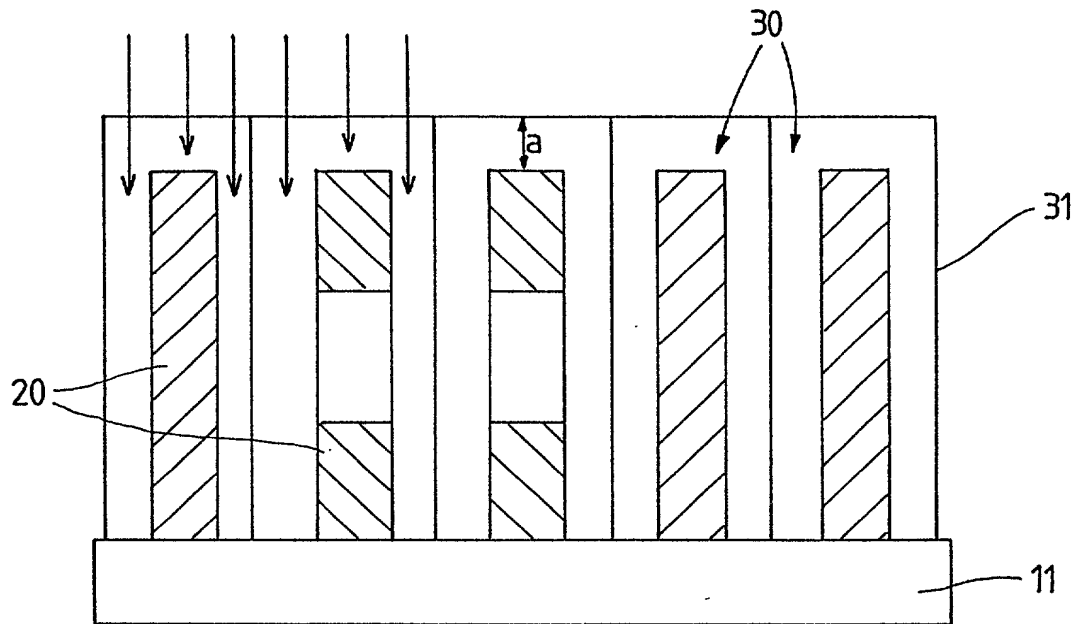


Fig. 3a

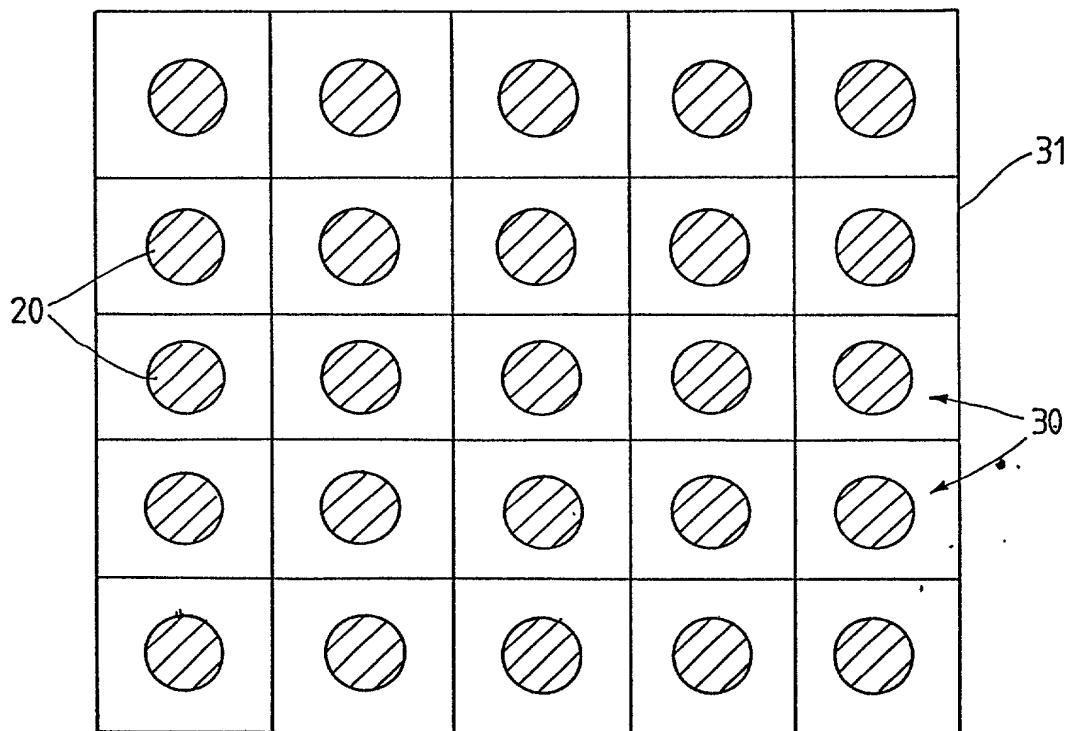


Fig. 4

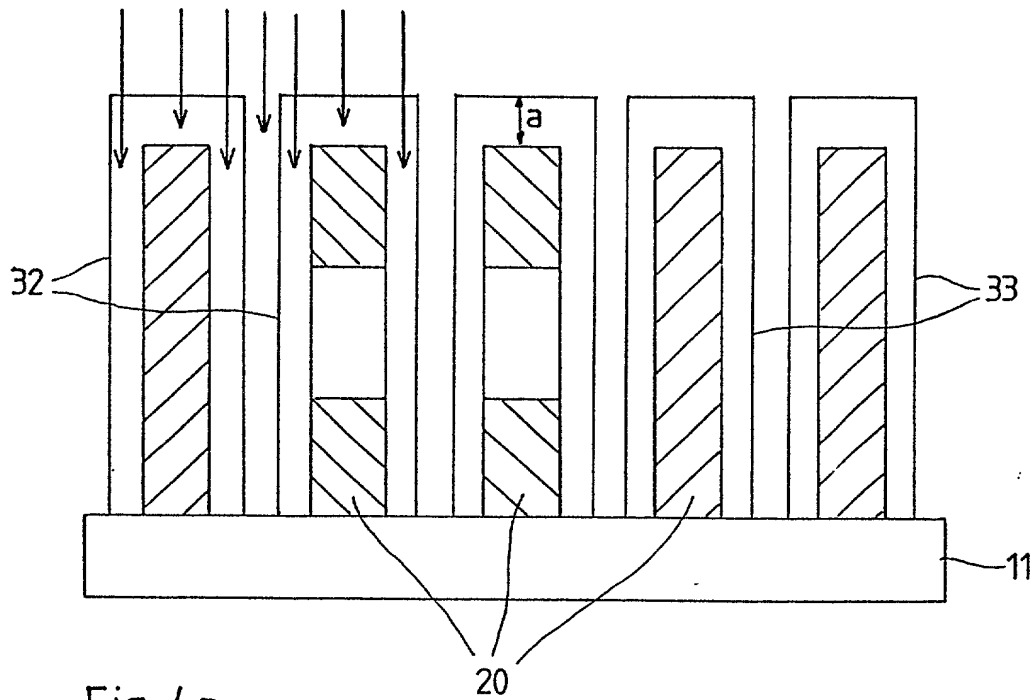
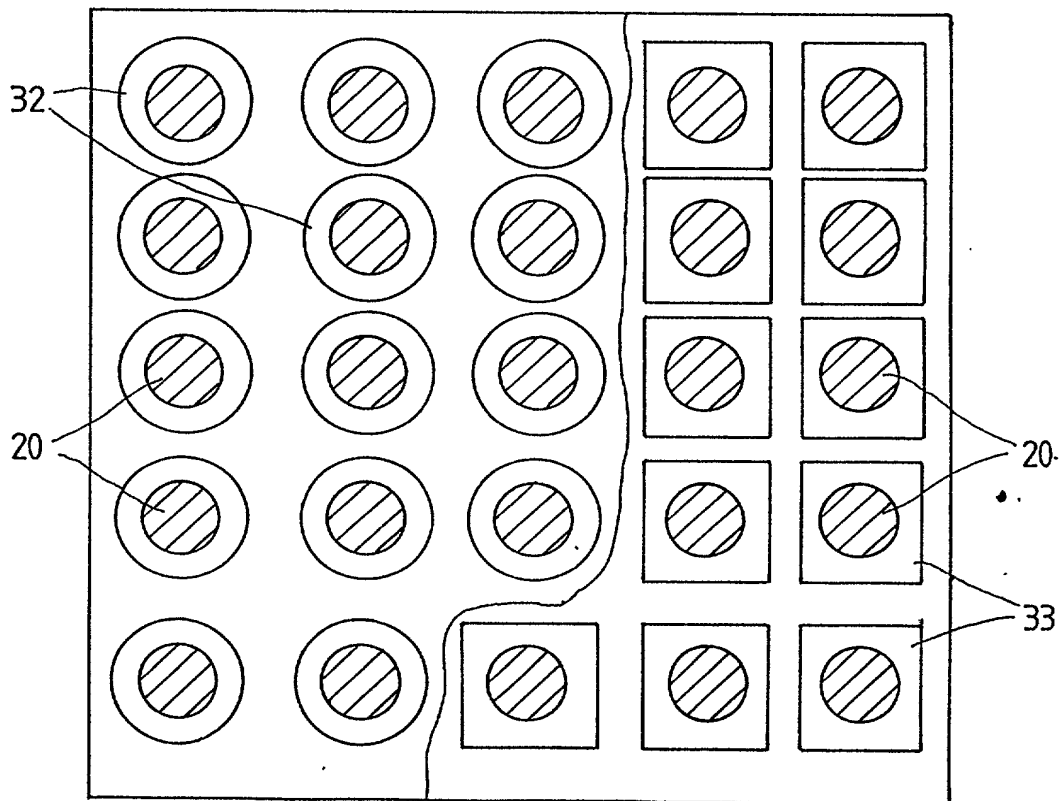


Fig. 4a



COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:
My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought of the invention entitled:

METHOD AND APPARATUS FOR TREATMENT OF METALLIC WORKPIECES

the specification of which

XXX is attached hereto;

 was filed on as Application Ser.No. and
was amended on .

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information known by me to be material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s) :

Priority
Claimed:

<u>00 10 8203.1</u>	<u>EP</u>	<u>14/04/2000</u>	<u>X</u>
(Number)	(Country)	(Day/Month/Year Filed)	Yes No

I hereby appoint the following attorneys, Robert W. Becker, Reg. No. 26,255, and patent agent, Gudrun E. Hockett, Reg. No. 35,747, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith. Address all telephone calls to (505) 286-3511. Address all correspondence to ROBERT W. BECKER & ASSOCIATES, 11896 N. Highway 14, Suite B, Tijeras, New Mexico 87059.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: **Wolfgang Peter**

Inventor's signature *W. Peter* Date: 7 June 2000
Residence: Jandebeyer-Strasse 15, DE - 46446 Emmerich Germany
Citizenship: German
Post Office Address: same as above

Full name of second inventor, if any: **Dr. Bernd Edenhofer**

Inventor's signature *B. Edenhofer* Date: 7 June 2000
Residence: Holderlinstrasse 29, DE - 47533 Kleeve Germany
Citizenship: German
Post Office Address: same as above

Full name of third inventor, if any: **Jan-Willem Bouwman**

Inventor's signature *J. Bouwman* Date: 7 June 2000
Residence: Dorpstraat 70B, NL - 6909 AN Babberich Germany
Citizenship: Netherlands
Post Office Address: same as above